PTO/PCT Rec'd 20 SEP 2002

#4

Atty. Dkt. No. 081356-0168

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Yasunori CHIBA et al.

Title:

NOVEL YEAST MUTANTS AND PROCESS FOR PRODUCING

GLYCOPROTEIN CONTAINING MAMMALIAN TYPE SUGAR

CHAIN

Appl. No.:

10/049,887

Filing Date: 09/20/2002

Examiner:

Unassigned

Art Unit:

Unassigned

PRELIMINARY AMENDMENT

Commissioner for Patents Washington, D.C. 20231

Sir:

Prior to examination of the above-identified application, Applicants respectfully request that the following amendments be entered into the application:

IN THE CLAIMS:

In accordance with 37 C.F.R. § 1.121, please substitute for original claims 3, 7 -9, 11 - 14, 21 - 23, 25 - 28, following rewritten version of the same claims, as amended, cancel claims 31 and 32, and add new claims 33 - 38. The changes are shown explicitly in the attached "Version With Markings to Show Changes Made".

(Twice Amended) The yeast mutant according to claim 1 or 2, wherein 3. the auxotrophic mutation trait is selected form ura3 mutation, his3 mutation, leu2 mutation, ade2 mutation, trp1 mutation, and can1 mutation.